



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> <b>C12P 21/08, C12N 5/08</b> <b>A61K 35/14</b>	<b>AI</b>	<b>(11) International Publication Number:</b> <b>WO 91/05871</b> <b>(43) International Publication Date:</b> <b>2 May 1991 (02.05.91)</b>
<b>(21) International Application Number:</b> PCT/US90/05981 <b>(22) International Filing Date:</b> 18 October 1990 (18.10.90)  <b>(30) Priority data:</b> 424,540                      20 October 1989 (20.10.89)                      US  <b>(71) Applicant:</b> MEDARFX, INC. [US/US]; 12 Commerce Drive, West Lebanon, NH 03784 (US).  <b>(72) Inventors:</b> FANGER, Michael, W. ; West View Lane, Box 421, Lebanon, NH 03766 (US). GUYRE, Paul, M. ; Pinneo Hill Road, Hanover, NH 03755 (US). BALL, Edward, D. ; Rural Route #1, Box 415, Norwich, VT 05055 (US).		<b>(74) Agents:</b> DeCONTI, Giulio, A., Jr. et al.; Lahive & Cockfield, 60 State Street, Boston, MA 02109 (US).  <b>(81) Designated States:</b> AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> BISPECIFIC HETEROANTIBODIES WITH DUAL EFFECTOR FUNCTIONS  <b>(57) Abstract</b> <p>Bispecific molecules which react both with the high-affinity Fcγ receptor of human effector cells and with a target cell surface antigen are disclosed. Binding of the molecules to the Fc receptors found on effector cells is not blocked by human immunoglobulin G. The molecules are useful for targeting human effector cells (e.g. macrophages) against cells bearing the target antigen. For this purpose, bispecific molecules can be constructed containing the binding region derived from an anti-Fcγ receptor antibody and the binding region derived from an antibody specific for the target antigen. Targeted effector cells can be used to destroy cells bearing the target cell surface antigen by cell-mediated antibody dependent cytotoxicity and by complement-fixation.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MR	Mauritania
BE	Belgium	GA	Gabon	MW	Malawi
BF	Burkina Faso	GB	United Kingdom	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	SD	Sudan
CF	Central African Republic	KP	Democratic People's Republic of Korea	SE	Sweden
CC	Congo	KR	Republic of Korea	SN	Senegal
CH	Switzerland	LI	Liechtenstein	SU	Soviet Union
CI	Côte d'Ivoire	LK	Sri Lanka	TD	Chad
CM	Cameroon	LU	Luxembourg	TC	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark				

-1-

BISPECIFIC HETEROANTIBODIES  
WITH DUAL EFFECTOR FUNCTIONS

Background

The production of heteroantibodies for targeting effector cells comprising an antibody specific for the high affinity FcRI receptor linked to a second antibody specific for an antigen present on a target cell has been described. See, for example, Segal et al., U.S. Patent Number 4,676,980; and Karpovsky et al., J. Exp. Med. 160:1686-1701 (1984). Such constructs can be used to specifically kill unwanted cells (e.g. tumor cells or virus infected cells).

Recently, a monoclonal antibody has been produced which reacts with the high affinity Fc-gamma receptor through its variable region. Serum immunoglobulin does not compete with the antibody for binding to the Fc receptor. See, for example, Application; Anderson et al., J. Biol. Chem. 261:12856 (1986); and Shen et al., J. Immunol. 137:

-2-

3378-3382 (1986). Consequently, serum IgG does not interfere with targeted effector cell killing.

#### Summary of the Invention

This invention pertains to bispecific hetero-  
5 antibodies comprising an antibody or fragment  
thereof which can bind a cell surface antigen of a  
target cell and an antibody which binds the high  
affinity Fc- $\gamma$  receptor of an effector cell. The  
heteroantibodies are capable of inducing complement-  
10 mediated and effector-cell-mediated cell lysis. The  
antibody specific for the Fc $\gamma$  receptor binds to a  
site which is distinct from the ligand binding site  
for the Fc region of IgG and this binding is not  
blocked by IgG. The bispecific molecules are  
15 capable of binding to IgG-occupied receptor of  
effector cells in the presence of normal serum IgG.

In a preferred embodiment, the antibody  
specific for the cell surface antigen of the target  
cell is an IgM molecule. Heteroantibodies formed  
20 with IgM can induce complement-mediated, as well as  
effector-cell-mediated, lysis of the target cell.

The heteroantibodies of this invention can be  
used to target and destroy unwanted cells such as  
tumor cells or virus infected cells. For this  
25 purpose, they can be administered alone or they can  
be pre-attached to effector cells for administration  
to a patient. They can also be used in conjunction  
with other molecules. For example, molecules of  
this invention can be used with cytokines such as

-3-

interferon- $\gamma$  which can activate or enhance their therapeutic potential.

Detailed Description of the Invention

5 The heteroantibodies of this invention have at least two distinct binding specificities. The molecules contain an antibody or fragment thereof specific for a surface antigen of a target cell and an antibody or fragment thereof specific for the high affinity Fc $\gamma$  receptor of effector cells. In addition, the heteroantibodies of this invention have dual effector functions. The heteroantibody is capable of inducing complement-mediated cell lysis and antibody-dependent cell mediated cytotoxicity.

10 The Fc-receptor binding specificity is provided by a binding agent which binds to the high affinity (p72) Fc $\gamma$  receptor (FcRI) for human IgG without being blocked by human IgG. The preferred Fc $\gamma$  receptor binding agent is an antibody, antibody fragment, antibody variable region, or genetic construct having the following characteristics:

- 20 a. it reacts specifically with the high affinity Fc $\gamma$  receptor;
- b. it reacts with the receptor through its antigen combining region independent of any Fc portion;
- 25 c. it reacts with an epitope of Fc $\gamma$  receptor which is distinct from the Fc binding (i.e. ligand binding) site of the receptor; and
- d. it binds ligand-occupied receptor.

-4-

The anti-Fc $\gamma$  receptor antibodies of this invention can be produced as described in U.S. Patent Application Serial Number 151,450; Fanger et al., "Monoclonal Antibodies to Fc Receptors for Immunoglobulin G on Human Mononuclear Phagocytes", the teachings of which are incorporated by reference herein. A hybridoma producing a preferred antibody having the above characteristics, mAb 32.2, is available from the American Type Culture Collection (ATCC accession number HB 9469).

The target cell specificity and the complement-mediated cell lysis effector function is provided by an antibody specific for a surface antigen of the target cell. In a preferred embodiment, this antibody is an antibody which can direct complement-mediated cell lysis and provide the heteroantibody with this effector function. Preferably, the antibody specific for the target cell is an IgM. Heteroantibodies containing antibodies of this class demonstrate enhanced ability to kill targeted cells as is demonstrated in the Example which follows.

Target cells are cells whose elimination would be beneficial to the host. One important type of target cell is a tumor cell. Heteroantibody of this invention can have specificity for FcRI and specificity for a tumor-associated or tumor specific antigen.

Antibodies with a desired tumor specificity for production of heteroantibody can be produced or can be selected from available sources. Monoclonal

- 5 -

antibodies against tumor-associated antigens can be made by the methods of Koprowski et al., U.S. Patent 4,172,124. Many suitable anti-tumor antibodies are presently available.

5        Specific anti-tumor antibodies would include, but not be limited to:

<u>Antibody</u>	<u>Specificity</u>
AML-2-23, PM-81, PMN-6, PMN-19	Myeloid Leukemia
SCCL-1, SCCL-175	Small Cell
	Lung Carcinoma
OC125, OVCT-3	Ovarian Carcinoma
COL-1, COL-2, ... COL-13	Colon Carcinoma

10

A preferred anti-tumor antibody is an antibody specific for the CD15 antigen as represented by the antibody designated PM-81 in the above table. The CD15 antigen is expressed by colon and breast tumor cells in addition to myeloid leukemia cells (as indicated in the table). A hybridoma producing the PM-81 antibody has been deposited with the American Type Culture Collection and assigned accession number CRL 10266.

15

20

In addition to tumor cells, the effector cell can be targeted against auto-antibody producing lymphocytes for treatment of autoimmune disease or an IgE-producing lymphocyte for treatment of allergy. The target can also be a microorganism (bacterium or virus) or a soluble antigen (such as rheumatoid factor or other auto-antibodies).

25

Bivalent heteroantibodies of this invention comprise an antibody (or fragment) specific for Fc $\gamma$

30

-6-

receptor, coupled to an antibody (or fragment) specific for a cell surface antigen of a target cell. Heteroantibodies can be prepared by conjugating Fcγ receptor antibody with antibody  
5 specific for the target cell antigen as is described in detail in the Example below. A variety of coupling or crosslinking agents can be used to conjugate the antibodies. Examples are protein A, carboiimide, dimaleimide, dithio-bis-nitrobenzoic  
10 acid (DTNB), and N-succinimidyl-3-(2-pyridyldithio) propionate (SPDP). SPDP and DTNB are the preferred agents; procedures for crosslinking antibodies with these agents are known in the art. See e.g., Karpovsky, B. et al., (1984) J. Exp. Med. 160:1686;  
15 Liu, M.A. et al., (1985) Proc. Natl. Acad. Sci USA 82:8648; Segal, D.M. and Perez, P., U.S. Patent No. 4,676,980 (June 30, 1987); and Brennan, M. Biotechniques 4:424 (1986).

Heteroantibodies of this invention can be  
20 administered to target the killing of unwanted cells in two general ways. The molecules can be given in free form. Alternatively, the molecules can be attached to the surface of effector cells in vitro and the cells can be administered. In each mode the  
25 principle is the same; the effector cell is targeted toward the cell bearing the targeted antigen.

Effector cells for targeting are human leukocytes, preferably macrophages. Other cells can include monocytes, activated neutrophils, and  
30 possibly activated natural killer (NK) cells and eosinophils. Macrophages can be treated with IFN-γ



- 7 -

before targeting to increase the number of Fc receptors for attachment of the targeting antibody or heteroantibody. Neutrophils and NK cells can also be activated with IFN- $\gamma$  in this way. The  
5 effector cells may also be activated before targeting by other cytokines such as tumor necrosis factor, lymphotoxin, colony stimulating factor, and interleukin-2. If desired, effector cells for  
10 targeting can be obtained from the host to be treated, or any other immunologically-compatible donor.

The targeted effector cells can be administered as a suspension of cells in a physiologically acceptable solution. The number of cells administered can be in the order of  $10^8$ - $10^9$ , but will vary  
15 depending on the therapeutic purpose. In general, the amount will be sufficient to obtain localization of the effector cell at the target cell, and to effect killing of the cell by complement mediated  
20 cell lysis and antibody dependent cell-mediated cytotoxicity (ADCC) and/or phagocytosis. Routes of administration can also vary. The targeted effector cells could be administered intravenously, intramuscularly, or intraperitoneally.

25 Heteroantibodies of this invention link antigen-specific binding agents to Fc $\gamma$ R on effector cells in such a way that the large excess of human IgG in vivo does not interfere with binding of the molecule to effector cells or interfere with func-

-8-

tioning of effector cells. This is possible because the anti-Fc $\gamma$ R component of these molecules binds to Fc $\gamma$ R at an epitope outside of its ligand binding domain. Effector cells (i.e. macrophages) targeted  
5 in this way can be employed to bring about antibody-dependent cell-mediated killing of HIV or HIV-infected cells.

The heteroantibodies of this invention have a potentially long half-life in vivo. This can result  
10 from the interaction of these constructs with Fc $\gamma$ R on all monocytes and macrophages where it might remain for long periods of time, much of it out of circulation, but functionally active throughout the body on all cells of the reticuloendothelial system.

15 The invention is illustrated further by the following example.

#### EXAMPLES

##### Antibodies and Antibody Fragments.

The development and properties of mAb 32.2, a  
20 mouse mAb to the human monocyte high affinity Fc receptor, have been reported (Anderson, C.L. et al. (1986) J. Biol. Chem. 261:12856). Briefly, FcRI was isolated from U937 cells by affinity chromatography on immobilized human IgG and was injected into  
25 BALB/c mice. Five days after the last immunization, the splenocytes were fused with cells of the NS1

- 9 -

myeloma cell line. Supernatants of the hybrids were screened for their reactivity with U937 cells by an indirect immunofluorescence assay using a flow cytometer.

5        Selected hybrids cloned by limiting dilution, were rescreened and expanded. An IgG1 mAb was then selected that exhibited specific binding to the same 72,000 dalton protein (FcRI) precipitated by Sepharose-human IgG. This identity of reaction was  
10 shown by preclearing experiments and by identical isoelectric focussing patterns. Binding of mAb 32.2 to FcRI was independent of the Fc region of the antibody inasmuch as Fab' fragments of this mAb affinity adsorbed FcRI. The binding of both mAb  
15 32.2 and human IgG1 to the intact U937 cell were not reciprocally inhibitory, indicating that mAb 32.2 does not interfere with the ligand binding site of FcRI. The IgG fraction of ascites fluid from pristane-primed mice injected with the 32.2  
20 hybridoma was obtained by precipitation with 40% saturated ammonium sulfate. Ion exchange high pressure liquid chromatography (HPLC) with the use of a protein-pak 5PW DEAE column (Waters Chromatography Division, Millipore, Milford, MA) was used  
25 to purify the 32.2 IgG1 antibody. The F(ab')<sub>2</sub> fragment was made according to the method of Parham (Parham, P. (1983) J. Immunol. 131:2895) by pepsin digestion at pH 3.5. Digestions were monitored by HPLC to ensure complete cleavage. F(ab')<sub>2</sub> fragments  
30 were purified by HPLC gel filtration chromatography

-10-

by using a Bio-Sil TSK 250 column (Bio-Rad, Richmond, CA), and Fab fragments were obtained by reduction with 1 mM dithiothreitol for 2 hr at 18°C, followed by alkylation with 2 mM iodoacetamide for 1 hr at 18°C.

A hybridoma producing an IgM mAb, PM81, which reacts specifically with the CD15 cell surface antigen has been deposited with the American Type Culture Collection (CRL 10266).

#### 10 Heteroantibody Formation.

Heteroantibodies of Fab 32.2 plus mAb PM81 were made by the method of Karpovsky *et al.* (Karpovsky, B. (1984) *J. Exp. Med.* 160:1686). Fab 32.2 (or Fab W6/32) and mAb PM81 (at 1 to 3 mg/ml) were treated separately with an eightfold molar excess of the bifunctional reagent N-succinimidyl-3-(2 pyridyl-dithiol) propionate (SPDP) (Pharmacia, Uppsala, Sweden) for 2 hr at 18°C. SPDP-treated Fab 32.2 was dialyzed in phosphate-buffered saline (PBS), pH 7.4. SPDP-treated mAb PM81 was dialyzed in 0.1 M phosphate-0.1 M acetate-0.1 M NaCl, pH 4.5, was treated with 0.02 M dithiothreitol (30 min. 18°C), and was passed through a G-25 Sephadex column (Pharmacia) equilibrated in 0.1 M phosphate, 0.1 M NaCl, pH 7.5. Equimolar amounts of the Fab 32.2 and mAb PM81 were then mixed and incubated at 18°C for 4 hr, after which cross-linking was terminated with 1 mM iodoacetamide. Heteroantibodies were dialyzed into PBS and were sterilized by 0.2 µm filtration.

-11-

Preparations contained less than 15% uncross-linked Fab, and were at a concentration of 0.7 to 1.5 OD<sub>280</sub> U per ml.

Effector Cells.

5 U937 cells obtained from the ATCC (Sundstrom C., and K. Nilsson (1976) Int. J. Cancer 17:565) were cultured in RPMI containing 10% heat-inactivated fetal bovine serum (FBS) and gentamicin (RPMI-FBS). Monocytes were purified from cyto-  
10 phoresis packs obtained from normal volunteers; as described (Shen, L. et al. (1986) Clin. Exp. Immunol. 65:387). Briefly, cells from cytophoresis packs were spun on Ficoll-Hypaque and the interface layer was collected. After three washes in RPMI,  
15 the cells were resuspended in RPMI-FBS at  $5 \times 10^7$ /ml in 15 ml polypropylene tubes and were rotated at 8 rpm for 1 hr at 4°C to induce monocyte clumping. The clumped cells were sedimented on ice at 1 X G for 15 to 30 min, the supernatant was removed, and  
20 the cells (in 2 ml of medium) were then carefully layered onto an equal volume of ice-cold FBS. After sedimentation through the FBS for 20 min at 4°C, the lower phase contained 60 to 95% monocytes, the remainder being lymphocytes. Monocytes were washed  
25 twice in RPMI-FBS, were brought to  $2 \times 10^6$ /ml in RPMI-FBS, and then were assayed. In some experiments, U937 cells ( $5 \times 10^5$ /ml or monocytes ( $2 \times 10^6$ /ml) were cultured for 18 to 24 hr in RPMI-FBS supplemented with 300 international reference units

-12-

(IRU)/ml) of recombinant human interferon- $\gamma$   
(Genetech, San Francisco, CA).

Target Cells.

5 HL-60 leukemia cells (ATCC CCL 240) were  
labeled for 1 hr at 37°C with 200  $\mu$ Ci of  $^{51}\text{Cr}$  sodium  
chromate in normal saline (New England Nuclear,  
Boston, MA). Cells were washed three times in  
medium 199-10% FBS before use.

Antibody-Dependent Cellular Cytotoxicity (ADCC).

10 Equal volumes (50  $\mu$ l) of  $^{51}\text{Cr}$ -labeled target  
cells at  $5 \times 10^5$ /ml, effector cells at various  
effector to target ratios, and heteroantibodies at  
the concentrations indicated were mixed in round-  
bottomed microtiter wells. All tests were conducted  
15 in triplicate. Controls for the effects of hetero-  
antibodies alone, and effector cells alone, were  
included in all experiments. Maximal lysis was  
obtained by the addition of 100  $\mu$ l of 2% sodium  
dodecyl sulfate in water to 50  $\mu$ l of CE. Plates  
20 were incubated for 18 hr at 37°C, after which 50% of  
the supernatant was removed and then counted for  
release of  $^{51}\text{Cr}$ . Percent cytotoxicity was cal-  
culated at  $100 \times (\text{counts released with effectors} +$   
antibody) - (counts released with effectors alone) +  
25 (maximum lysis - spontaneous release). The results

-13-

were expressed as mean  $\pm$  standard deviation of triplicates.

#### Cellular Heteroconjugates.

5 Target cells were coated for 2 hr at 4°C with heteroantibodies at the concentrations indicated, were washed three times, and were adjusted to  $2 \times 10^7$  cells/ml. Equal volumes (50  $\mu$ l) of targets and effectors ( $2 \times 10^6$ /ml) were mixed by gentle rotation for 1 hr at 4°C, and then allowed to settle for 1 hr  
10 on ice. The supernatant was removed and the cells were gently resuspended in 100  $\mu$ l of acridine orange and examined in a hemocytometer by using incident light and UV. Effector cells (200) in duplicate samples were scored for attachment to one or more CE  
15 target cells.

#### Microtiter Binding Assay

A monolayer of target cells was incubated in a microtitre plate well at 4°C with the heteroantibody construct. Unbound heteroantibodies were removed in  
20 a wash step. MTT labelled effector cells were added. MTT was then dissolved in isopropanol and a reading was taken using an ELISA reader at A 570.

#### Results

The ability of the bispecific heteroantibody to  
25 mediate attachment of human monocytes to tumor

-14-

target cells was confirmed in a microtiter well assay using MTT labelled monocytes and THP-1 human monocytic leukemia (ATCC TIB 202) or SKBR-3 breast carcinoma (ATCC HTB 30) target cells.

- 5       The ability of the heteroantibody to mediate killing of HL-60 promyelocytic leukemia cells was studied in the ADCC assay. Monocytes alone caused minimal killing (5-20%), monocytes plus bispecific heteroantibody caused moderate killing (20-50%), and  
10       monocytes plus bispecific heteroantibody plus human serum resulted in maximal killing (50-80%).

#### Equivalents

- Those skilled in the art will recognize, or be able to ascertain using no more than routine experi-  
15       mentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims.



-15-

CLAIMS

1. A heteroantibody comprising an antibody or  
fragment thereof which can bind a cell surface  
antigen and an antibody which binds the high  
5 affinity Fc- $\gamma$  receptor of an effector cell, the  
binding of which to an effector cell is not  
blocked by human immunoglobulin G, the hetero-  
antibody being capable of inducing complement  
mediated and effector-cell-mediated cell lysis.
- 10 2. A heteroantibody of Claim 1, wherein the  
antibody which can bind the cell surface  
antigen comprises an IgM molecule.
3. A heteroantibody comprising an antibody or  
15 fragment thereof specific for CD15 cell surface  
antigen and an antibody or fragment thereof  
specific for high affinity Fc- $\gamma$  receptor of an  
effector cell, the binding of which to an  
effector cell is not blocked by human im-  
munoglobulin G.
- 20 4. A heteroantibody of Claim 3, wherein the  
antibody specific for CD15 comprises an IgM.
5. A heteroantibody of Claim 3, wherein the anti-  
body or fragment thereof which is specific for  
the CD15 cell surface receptor and the antibody

-16-

or fragment thereof which is specific for Fc- $\gamma$  receptor are linked by a disulfide bridge.

- 5 6. A heteroantibody of Claim 3, wherein the antibody specific for Fc- $\gamma$  receptor is a monoclonal antibody which is produced by the hybridoma having ATCC accession number HB 9469.
- 10 7. A heteroantibody of Claim 3, wherein the antibody fragment specific for the high affinity Fc- $\gamma$  receptor is an Fab fragment of the monoclonal antibody produced by the hybridoma having ATCC accession number HB 9469.
- 15 8. A heteroantibody of Claim 3, wherein the effector cell is a human cell selected from the group consisting of monocytes, macrophages, neutrophils and eosinophils.
- 20 9. A heteroantibody of Claim 3, wherein the CD15-bearing cell is selected from the group consisting of myeloid leukemia, lung small cell carcinoma, colon carcinoma and breast carcinoma.
- 25 10. A heteroantibody comprising mAb PM81 which is produced by the hybridoma having ATCC accession number CRL 10266, linked by a disulfide bridge to Mab 32.2 which is produced by the hybridoma having ATCC accession number HB 9469.

-17-

11. A target-specific effector cell comprising:
- a) an effector cell expressing high affinity receptor for the Fc portion of IgG; and
  - b) a heteroantibody bound to an epitope of the Fc receptor of the effector cell that is outside of the ligand binding domain of the receptor, the heteroantibody comprising:
    - (i) an antibody or fragment thereof specific for CD15 cell surface antigen; and
    - (ii) an antibody or fragment thereof specific for effector cell high affinity Fc- $\gamma$  receptor, the binding of which is not blocked by human immunoglobulin G.
12. A target-specific cell of Claim 11, wherein the antibody specific for CD15 comprises an IgM.
13. A target-specific effector cell of Claim 11, wherein the antibody or fragment thereof specific for CD15 and the antibody or fragment thereof specific for the high affinity Fc- $\gamma$  receptor are linked by a disulfide bridge.
14. A target-specific effector cell of Claim 11, wherein the antibody fragment specific for the high affinity Fc- $\gamma$  receptor is produced by the hybridoma having ATCC accession number HB 9469.

-18-

15. A target specific effector cell of Claim 11,  
wherein the antibody fragment specific for the  
high affinity Fc- $\gamma$  receptor is an FAb fragment  
of the monoclonal antibody produced by the  
hybridoma having ATCC accession number HB  
9469.
16. A target-specific effector cell of Claim 11,  
wherein the effector cell is a human cell  
selected from the group consisting of  
monocytes, macrophages, neutrophils and  
eosinophils.
17. A target specific effector cell of Claim  
11, wherein the tumor cell is selected from the  
group consisting of myeloid leukemia, lung  
small cell carcinoma, colon carcinoma and  
breast carcinoma.
18. A target-specific effector cell comprising:  
a) an effector cell expressing high affinity  
Fc- $\gamma$  receptor;  
b) a heteroantibody bound to an epitope of  
the Fc receptor of the effector cell that  
is outside the binding domain of the  
receptor, the heteroantibody comprising:  
(i) mAb PM81 which is produced by the  
hybridoma having ATCC accession  
number CRL 10266; and

-19-

(ii) mAb 32.2 which is produced by the hybridoma having ATCC accession number HB 9469.

- 5 19. A method of tumor therapy, comprising administering to a patient afflicted with a tumor, a therapeutic amount of targeted effector cells, each targeted effector cell comprising:
- 10 (i) an antibody or fragment thereof specific for CD15 cell surface antigen; and
- 15 (ii) an antibody or fragment thereof specific for effector cell high affinity Fc- $\gamma$  receptor, the binding of which is not blocked by human immunoglobulin G.
20. A method of Claim 19, wherein the antibody specific for CD15 comprises an IgM.
- 20 21. A method of Claim 19, wherein the antibody or fragment thereof specific for CD15 and the antibody or fragment thereof specific for the high affinity Fc- $\gamma$  receptor are linked by a disulfide bridge.
- 25 22. A method of Claim 19, wherein the antibody fragment specific for the high affinity Fc- $\gamma$  receptor is produced by the hybridoma having ATCC accession number HB 9469.

-20-

23. A method of Claim 19, wherein the antibody fragment specific for the high affinity Fc- $\gamma$  receptor is an FAb fragment of the IgG molecule produced by the hybridoma having ATCC accession number HB 9469.
24. A method of Claim 19, wherein the effector cell is a human cell selected from the group consisting of monocytes, macrophages, neutrophils and eosinophils.
25. A method of Claim 19, wherein the tumor cell is selected from the group consisting of myeloid leukemia, lung small cell carcinoma, colon carcinoma and breast carcinoma.
26. A method of tumor therapy comprising, administering to a patient afflicted with a tumor, a therapeutic amount of target-specific effector cells, each target-specific effector cell comprising:
- a) an effector cell expressing high affinity Fc- $\gamma$  receptor;
  - b) a heteroantibody bound to an epitope of the Fc receptor of the effector cell that is outside the binding domain of the receptor, the heteroantibody comprising:
    - (i) mAb PM81 which is produced by the hybridoma having ATCC accession number CRL 10266; and

-21-

(ii) mAb 32.2 which is produced by the  
hybridoma having ATCC accession  
number HB 9469.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 90/05981

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>1</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC <sup>5</sup> : C 12 P 21/08, C 12 N 5/08, A 61 K 35/14		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
IPC <sup>5</sup>	C 07 K, C 12 P, A 61 K	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category <sup>6</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	WO, A, 8800052 (TRUSTEES OF DARTMOUTH COLLEGE) 14 January 1988 see page 13, lines 15-21; claims --	1-18
X	The Journal of Immunology, vol. 137, no. 11, 1 December 1986, The American Association of Immunologists, (US), L. Shen et al.: "Heteroantibody-mediated cytotoxicity: antibody to the high affinity Fc receptor for IgG mediates cytotoxicity by human monocytes that is enhanced by interferon- $\gamma$ and is not blocked by human IgG", pages 3378-3382 see the abstract (cited in the application)	1
Y	--	2-18
Y	GB, A, 2215046 (UNIVERSITY OF DUNDEE) 13 September 1989 see page 2, lines 14-34 --	2-18
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"Z" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
11th March 1991		04 APR 1991
International Searching Authority		Signature of Authorized Officer
EUROPEAN PATENT OFFICE		MISS T. TAZELAAR



## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

A	The Journal of Immunology, vol. 143, no. 5, 1 September 1989, The American Association of Immunologists, (Baltimore, MD, US), P.M. Guyre et al.: "Monoclonal Anti- bodies that bind to distinct epitopes on Fc $\gamma$ RI are able to trigger receptor function", pages 1650-1655 see the whole article  -----	1-18
---	---	------

V. ☒ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE \*

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers XX... because they relate to subject matter not required to be searched by this Authority, namely:

XX Claims 19 - 26

pls. see Rule 39.1 (iv) - PCT:

Method for treatment of the human or animal body by surgery  
 or therapy, as well as diagnostic methods.

2. ☐ Claim numbers..., because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers..., because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING \*

This international Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the international Searching Authority did not invite payment of any additional fee.

## Remark on Protest:

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.

US 9005981

SA 42221

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 26/03/91. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A- 8800052	14-01-88	US-A- 4954617	04-09-90
		AU-B- 605771	24-01-91
		AU-A- 7527187	14-01-88
		EP-A- 0255249	03-02-88
		JP-T- 1500195	26-01-89
-----			
GB-A- 2215046	13-09-89	None	
-----			